Screening for pulmonary tuberculosis in a Tanzanian prison and computer-aided interpretation of chest X-rays

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METHODS

Design
This was a cross-sectional study in prisoners of the Ukonga prison in Dar es Salaam, Tanzania, conducted from September 2013 to October 2014.

Screening algorithm
This study was linked to a TB REACH project assessing the application of Xpert® MTB/RIF (Cepheid, Sunnyvale, CA, USA) as a routine screening tool in Tanzanian prisons. All prisoners and new arrivals to Ukonga prison first underwent a CXR as screening test, regardless of whether or not clinical TB symptoms were present, followed by an Xpert assay of sputum in case of ‘any abnormality’ on the CXR. In the event of a positive TB diagnosis, participants received prompt, effective TB treatment on-site according to the Tanzania National Tuberculosis and Leprosy Programme guidelines.

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KEY WORDS
tuberculosis; chest X-ray; computer-aided diagnosis

AS and CM contributed equally to this study. KR and MH contributed equally to this study.

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X-ray data sets
All X-ray images were generated on a digital Odelca DR system (Delft Imaging Systems).
A total of 1321 CXRs were acquired during prisoner screening from September 2013 to October 2014. This operational data set was extracted from the picture archive and communication system (PACS), together with the date/time of the image acquisition and the first electronic report filed to the system. The data were used to confirm that CAD4TB works autonomously and to determine the time to detection due to a reporting delay; no additional readings were performed on these images.

A subset of 511 consecutive images (Figure 1) was manually linked to epidemiological information from the database of the TB REACH study and read by multiple X-ray readers. This accuracy data set was used to assess diagnostic performance and examine the utility of the CAD4TB-generated overlay.

X-ray reading and radiological reference
Images were classified into the following four categories: 1) ‘normal CXR’, 2) ‘abnormal CXR, not suggestive of active PTB’, 3) ‘abnormal CXR, consistent with active pulmonary TB’, and 4) ‘abnormal CXR, highly suggestive of active pulmonary TB’.

All CXRs from the accuracy data set were evaluated multiple times: two experienced TB radiologists viewed the images at their working station and reached a consensus that served as the radiological reference, the gold standard, for the purposes of this study, categories 1+2 were considered TB-negative and categories 3+4 TB-positive. PTB = pulmonary tuberculosis.

All images from the accuracy data set as well as the operational data set were also analysed using CAD4TB (version 3.07, developed by the Diagnostic Image Analysis Group at Radboud University Medical Center, Nijmegen, The Netherlands), explained in detail elsewhere.14,15 The software ran on the same computer that was used as the viewing station and analysed all images in the background autonomously after initial installation.

Data analysis
The data were analysed using R version 3.1.0 (R Foundation for Statistical Computing, Vienna, Austria). Receiver operating characteristics (ROC)17 were generated using the pROC package,18 and bootstrapping was used to generate confidence intervals in the ROC plot. McNemar’s test was used to compute $P$ values when comparing the sensitivity of human readers and CAD4TB at assumed levels of specificity. The statistical significance level of the change of the number of false positives and false negatives across all readers when using the overlay was calculated using conditional logistic regression, stratifying by CXR and using a fixed effect for reader.

Ethics approval
Ethical clearance was obtained from the National Institute for Medical Research in Tanzania and local ethics committees. Permission to conduct the study was obtained from the prison authorities. All participants signed an informed consent form that was translated into the local language (Swahili). In case of illiteracy, the consent form was explained orally, and the participant confirmed his/her agreement with a fingerprint in the presence of a witness. Information was linked to a unique study ID number, and the data set contains no personal identifying information.

RESULTS
Characteristics of the study population
All 511 prisoners in the accuracy data set (Table 2) were male, with a median age of 37 years (IQR 31–45); 1 (0.2%) was on anti-tuberculosis treatment when screened, 82 (16.1%) had a medical history that included previous anti-tuberculosis treatment, and 58 (11.4%) were human immunodeficiency virus (HIV) positive. The radiological reference classified 64 prisoners (12.5%) as positive for active PTB (Figure 1), of whom 13 (20.3%) tested positive for HIV.

Diagnostic performance
The radiological reference (Figure 1) served as the gold standard, and ‘any abnormality’ (category 1 vs. 2+3+4) was used as a thresh-
old for the other readers (Table 1) to classify images as TB positive or negative. CAD4TB achieved an area under the curve (AUC) of 0.75 (95% confidence interval [CI] 0.68–0.82; Figure 3). The different readers achieved a sensitivity ranging from 42.2% to 71.9%, and a specificity from 45.9% to 90.6% (Figure 3). Average values were 63.4% for sensitivity and 75.4% for specificity. Two readers performed significantly better than CAD4TB (assistant medical officer [AMO], \( P = 0.001 \); medical doctor [MD] 1, \( P = 0.026 \)), two readers performed significantly worse than CAD4TB (clinical officer [CO] 1, \( P = 0.021 \); CO2, \( P = 0.012 \)), and there was no evidence of a difference between CAD4TB and the other three readers (MD2, MD3, CO3).

**TABLE 2** Population characteristics (accuracy data set, \( n = 511 \))

<table>
<thead>
<tr>
<th>Variable</th>
<th>( n ) (%)</th>
</tr>
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<tbody>
<tr>
<td>Age, years</td>
<td></td>
</tr>
<tr>
<td>18–25</td>
<td>53 (10.4)</td>
</tr>
<tr>
<td>26–35</td>
<td>177 (34.6)</td>
</tr>
<tr>
<td>36–45</td>
<td>160 (31.3)</td>
</tr>
<tr>
<td>46–55</td>
<td>74 (14.5)</td>
</tr>
<tr>
<td>&gt;55</td>
<td>47 (9.2)</td>
</tr>
<tr>
<td>Weight loss past 6 months</td>
<td>9 (1.8)</td>
</tr>
<tr>
<td>Excessive night sweats</td>
<td>10 (2.0)</td>
</tr>
<tr>
<td>Fever ( \geq 2 ) weeks</td>
<td>15 (3.0)</td>
</tr>
<tr>
<td>Cough ( \geq 2 ) weeks</td>
<td>27 (5.3)</td>
</tr>
<tr>
<td>Blood-stained sputum</td>
<td>1 (0.2)</td>
</tr>
<tr>
<td>Any of the above symptoms</td>
<td>43 (8.5)</td>
</tr>
<tr>
<td>Ever been on anti-tuberculosis treatment</td>
<td>83 (16.3)</td>
</tr>
<tr>
<td>Currently on anti-tuberculosis treatment</td>
<td>1 (0.2)</td>
</tr>
<tr>
<td>HIV</td>
<td></td>
</tr>
<tr>
<td>Negative</td>
<td>402 (78.9)</td>
</tr>
<tr>
<td>Positive</td>
<td>58 (11.4)</td>
</tr>
<tr>
<td>Refused test</td>
<td>49 (9.7)</td>
</tr>
<tr>
<td>Currently on ART</td>
<td>32 (6.3)</td>
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</tbody>
</table>

HIV = human immunodeficiency virus; ART = antiretroviral therapy.

**Figure 2** CAD4TB image analysis. An image classified as 4 (highly suggestive of active PTB) by the expert consensus. Raw image on the left side and computer-generated overlay (i.e., the output of lung parenchyma texture analysis subsystem)19 of the same image on the right side. Note the highlighting (yellow/red) of the parenchymal lesions in the apical zones. CAD4TB abnormality score 87 (out of 100). CAD4TB = Computer Aided Detection for Tuberculosis; PTB = pulmonary tuberculosis.

**CAD4TB-generated overlay**

The re-reading of the accuracy data set with the additional CAD4TB-generated overlay (Figure 2) had varying effects on the assessment by the different readers (Figure 4). Using the same criteria as for the diagnostic performance (category 1 vs. 2+3+4 and the radiological reference as a gold standard), the average number of false negatives decreased by 17%, from 23.4 to 19.4 (\( P > 0.5 \)), while the average number of false positives increased by 35%, from 110.0 to 148.6 (\( P < 0.01 \)). Using the threshold of “TB specific abnormalities” (categories 1+2 vs. 3+4) for the readers resulted in a decrease in the average number of false negatives by 9.6%, from 40.1 to 36.3 (\( P = 0.5 \)), and a decrease in the average number of false positives by 21.2%, from 29.7 to 23.4 (\( P > 0.5 \)).

All CXR readers perceived the overlay as helpful, 6 of 7 wanted to use such an overlay in daily practice, 5 of 7 felt more confident in their findings when using the overlay, and 4 of 7 had the impression of analysing the images faster.

**Reporting delay**

Within the operational data set (\( n = 1321 \)), CAD4TB autonomously calculated the abnormality score for 1310 CXRs (99.2%). This analysis was performed as soon as the image was taken. In contrast, an analysis of the delay between the image acquisition and the filing of the radiology report in the PACS system showed that 160 (12.2%) reports were delayed by more than 24 h by the X-ray reader of the TB REACH study (Table 3). Of the 160 delayed reports, 149 (93.1%) were clustered in 6 screening days only.

**DISCUSSION**

This study evaluated for the first time the automated reading of CXRs in a penitentiary setting for active case finding of PTB. We used CAD4TB for the first time without any calibration for the X-ray equipment, and the analysis was performed without any additional intervention by the operators. The population screened, 91.5% of whom had none of the typical TB symptoms (see Table 2), was markedly different from previous studies, which examined the performance of CAD4TB with symptomatic patients.14,15,20
CAD4TB performance was inferior to that of two experienced CXR readers, but better than, or comparable to, that of the other five readers (Figure 3; Table 1). This relative result is in line with previous studies, and further validates their findings by comparing the performance of CAD4TB with that of a more varied sample of readers. A limitation of this study is that the CAD4TB results could not be measured against a microbiologically confirmed sample, only a radiological reference (Figure 1). We expect this to influence the absolute values of the measured sensitivity and specificity, which should be interpreted with care. However, experienced TB radiologists are believed to detect typical changes in CXRs reliably, and other readers (and computer programmes) interpret the same signs on these same images. Consequently, the radiological reference is adequate for determining relative performance.

In previous work, the diagnostic accuracy of CAD4TB has been established against a biological reference: Maduskar et al. compared it against culture and found an AUC of 0.73, while Moyoyeta et al. compared it against Xpert, with an AUC of 0.71. Breuninger et al. compared it against culture (excluding non-tuberculous mycobacteria, clinically presumed culture-negative cases and extra-pulmonary TB), and reported an AUC of 0.84. The performance of X-ray readers in identifying TB in CXRs was found to be highly variable, and although many studies report high sensitivity (sometimes up to 100%) and low specificity, some authors found the specificity to be higher than the sensitivity.

All readers responded favourably to using CAD4TB as a reading aide, but the effects on reading performance were inconclusive. We preferred to show a coloured overlay instead of the final abnormality score (Figure 2) because the colour code conveys information about the texture analysis and could reduce the number of missed subtle parenchymal changes. Our results (Figure 4) suggest a trend toward reduced false negatives, as expected, but this effect is not very marked, and considerable inter-reader differences persist.

**TABLE 3** Delay of X-ray reports during prisoner screening

<table>
<thead>
<tr>
<th>Delay, h</th>
<th>n (%)</th>
<th>Delayed reports per day*</th>
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<tbody>
<tr>
<td>&lt;24</td>
<td>1160 (87.8)</td>
<td>NA</td>
</tr>
<tr>
<td>24–48</td>
<td>88 (6.7)</td>
<td>28; 25; 25; 10</td>
</tr>
<tr>
<td>&gt;48</td>
<td>72 (5.5)</td>
<td>34; 27; 2; 1; 1; 1; 1; 1; 1; 1</td>
</tr>
</tbody>
</table>

*Distribution of delayed reports (e.g., the 88 reports delayed for between 24 h and 48 h occurred on four different days).

NA = not applicable.

FIGURE 3 Receiver operated curve showing diagnostic performance. The bold line shows the sensitivity/specificity of CAD4TB when using different thresholds for the abnormality score. The cross represents an exemplary cut-off of 70, with resulting sensitivity/ specificity in parentheses. AUC = area under the curve; shaded area = confidence interval; CAD4TB = Computer Aided Detection for Tuberculosis.

FIGURE 4 Effect of overlay on false-negatives and false-positives. Stacked barplot shows number of false-negatives and false-positives of individual readers compared to the radiological reference. The left subplot uses the classification ‘any abnormality’ (category 1 vs. 2+3+4); the right subplot uses the classification ‘TB specific abnormalities’ (categories 1+2 vs. 3+4). The height of the barplot represents the number of misclassified images. TB = tuberculosis; AMO = assistant medical officer; CO = clinical officer; MD = medical doctor.
CAD4TB analysed over 99% of all X-ray images and provided the final result in under 2 min. Although human readers can interpret most CXRs very quickly, physical absence of the reader can lead to delayed diagnosis. In our case, the physical absence of the assistant medical officer responsible for reading the images for a few days (Table 3) resulted in a >24 h delay in reading 12.2% of all images. These delays were the cause of further logistic problems along the diagnostic algorithm. Our experience suggests that prompt analysis of all images is a very desirable property of the automated analysis. This is particularly true in a context where many patients are screened sequentially and other tests are performed depending on the result of the CXR examination. If this result is delayed by more than a few hours, patients have to be sent home and scheduled for follow-up visits, considerably increasing the logistic complexity of the screening procedure.

Computer-assisted reading of CXRs is a promising screening tool in a prison setting. Radiography is well established as a first step for active case finding in prisons, but skilled radiologists are scarce in low-income countries, which have the highest TB burden. A recent review found that CXR is not commonly used in African prisons and cited a lack of skilled and motivated manpower as a limiting factor in TB control. Our study illustrates that diagnostic performance varies strongly between X-ray readers, depending on their experience and personal ability. Automated analysis offers the advantages of objectivity and a highly predictable delay.

The abnormality score is the final output of CAD4TB. Using a fixed low threshold value results in a higher sensitivity but a lower specificity (upper right part of the graph in Figure 3). The follow-up examination in our diagnostic algorithm (Xpert) has a very high specificity and readily filters out the false positives from the preceding step. However, these false positives increase costs and limit the maximum achievable throughput. Alternatively, the threshold can be adapted dynamically to use the follow-up examination to its full capacity.

In conclusion, this article shows that CAD4TB performs with a diagnostic accuracy comparable to that of readers typically available in a low-income setting. Findings from previous studies could be extended to the screening of a prison population with a high percentage of asymptomatic screening subjects. In addition, automated analysis causes a minor and very predictable delay and is therefore ideally suited in multistep screening procedures in a logistically challenging environment.

References
**Contexte** : La Tanzanie est lourdement frappée par la tuberculose (TB) et les prisonniers sont un groupe à haut risque qui devrait bénéficier d’un dépistage actif, comme le recommande l’Organisation Mondiale de la Santé. Les algorithmes de dépistage qui débutent par une radiographie pulmonaire peuvent détecter des cas asymptomatiques, mais ils requièrent des lecteurs de radiographies expérimentés, qui sont rares dans le contexte pénitentiaire. Des études récentes sur des patients sollicitant des soins pour des symptômes liés à la TB ont mis en évidence une bonne performance diagnostique du logiciel CAD4TB.

**Objectif** : Évaluer le potentiel d’un dépistage assisté par ordinateur en utilisant CAD4TB au sein d’une population carcérale en majorité asymptomatique.

**Schéma** : Étude transversale.

**Résultats** : CAD4TB et sept professionnels de santé lisant des radiographies dans des services de TB locaux ont évalué un ensemble de 511 radiographies pulmonaires provenant de la prison d’Ukonga à Dar es Salaam et les performances ont été comparées grâce à une radiographie de référence. Deux lecteurs ont été significativement plus performants que CAD4TB, trois ont été comparables et deux ont été significativement moins bons (zone sous la courbe de 0,75 dans l’analyse ROC —fonction d’efficacité du receveur). Sur un ensemble de 1321 radiographies pulmonaires, CAD4TB en a interprété avec succès plus de 99% avec un délai de détection prévisible court, tandis que 160 (12,2%) réponses ont été retardées de plus de 24 h avec la méthode de lecture conventionnelle.

**Conclusion** : CAD4TB évalue de manière fiable les radiographies pulmonaires dans une population en majorité asymptomatique de détenus, avec une performance diagnostique inférieure à celle de lecteurs experts mais comparable à celle des lecteurs locaux.

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**Marco de referencia**: Tanzania es un país con una alta tasa de morbilidad por tuberculosis (TB) y las personas en los establecimientos penitenciarios constituyen un grupo de alto riesgo de contraer la enfermedad; en esta población se debe practicar la detección sistemática activa como lo recomienda la Organización Mundial de la Salud. Los algoritmos de detección cuya etapa inicial es la radiografía de tórax pueden detectar los casos asintomáticos, pero su eficacia depende de la experiencia del profesional que interpreta las imágenes y esta competencia es escasa en los entornos penitenciarios. Algunos estudios recientes de pacientes que buscan atención sanitaria por síntomas asociados con la TB han revelado un buen rendimiento diagnóstico con la utilización del programa informático CAD4TB.

**Objetivo**: Evaluar la utilidad de la detección sistemática de la TB asistida por el programa CAD4TB, en una población penitenciaria en su mayoría asintomática.

**Método**: Fue este un estudio de tipo transversal.

**Resultados**: Siete profesionales de atención sanitaria de los servicios locales de TB analizaron 511 radiografías de tórax provenientes de la prisión de Ukonga, en Dar es-Salam, con la ayuda del programa CAD4TB; se preparó un conjunto de referencia radiográfica de lectura con el fin de evaluar el rendimiento diagnóstico. El desempeño de dos de los lectores fue significativamente superior al resultado del programa CAD4TB, tres lectores obtuvieron una puntuación comparable al programa y en dos lectores se observó un rendimiento significativamente inferior (área bajo la curva: 0,75 en el análisis de eficacia diagnóstica). En un conjunto especial de 1321 radiografías de tórax el programa CAD4TB interpretó eficazmente más del 99%, con un corto lapso previsible hasta la detección, en contraste con la lectura clásica de las radiografías que dio lugar a un retraso superior a 24 horas en 160 informes (12,2%).

**Conclusión**: El programa CAD4TB realizó una evaluación fiable de las radiografías provenientes de una población penitenciaria en su mayor parte asintomática. El rendimiento diagnóstico del programa fue inferior al rendimiento de los lectores expertos, pero comparable con el rendimiento de los lectores locales.